2014 Academic Council Meeting, 12 June 2014 ANNEX III c

2014 Academic Council Meeting 12 June, 9am-12am

ANNEX IIIc. Financial History Review Editors' Report 2013. Stefano Battilossi, Managing Editor



# FINANCIAL HISTORY REVIEW EDITORS' REPORT 2013

Stefano Battilossi, Managing Editor

Prepared for the AC Meeting, Rueschlikon, 12/6/2014

Published articles
 Readership
 Citations and appeal to Thomson Reuters
 Submissions
 Editorial team and Editorial Board

### 1. Published articles

<u>Volume 20 (2013)</u> was the third one to be published on the base of the new format of three issues per year. In 2013 15 articles were published, compared to 14 in 2012, 13 in 2011 and 10 in 2010. For a full list of published articles, please see Appendix.

The first issue (April 2014) of <u>Volume 21</u>, just released, includes as <u>opening article a</u> <u>brief note "In Memoriam" of Phil Cottrell</u>, who sadly died in September 2013. He will be fondly remembered as one of the two founders of the Review, and one of the most skilled and influential financial historians of his generation. The note, written by Youssef Cassis (the other "father" of the Review), pays him a warm and thoroughly deserved tribute.

Research articles include:

-S. Ecchia (University of Salerno), Informal rural credit markets and interlinked transactions in the district of late Ottoman Haifa, 1890-1915

-O.H. Grytten (Norwegian School of Economics) and A. Hunnes (University of Agger), An anatomy of financial crises in Norway 1830-2010

-E. Drea (University College Cork), *The Bank of England, Montagu Norman and the internationalization of Anglo-Irish monetary relations, 1922-1943* 

-J. Llljegren and L.F. Andersson (Umea University), Variation in organizational form across lines of property insurance: Sweden, 1913-1939

The second issue (August 2014) will include the following articles, already typeset and available soon online on First View:

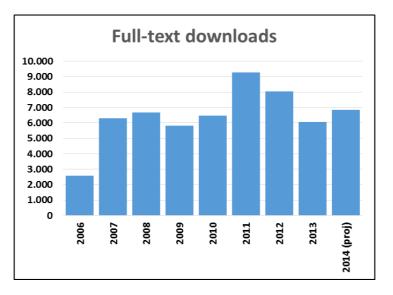
-C. Bellringer and R. Michie (University of Durham), *Big Bank in the City of London*. *An intentional revolution or an accident?* 

-J. Chadha (University of Kent) and M. Perlman<sup>†</sup> (LSE), Was the Gibson paradox for real? A Wicksellian study of the relationship between interest rates and prices
-G. Cortes (University of Illinois), R. Marcondes and M.D. Diaz (University of Sao Paulo), Mortgage for machinery: credit and industrial investment in pre-WW1 Brazil
-P. Kosmetatos (University of Cambridge), The winding-up of the Ayr Bank, 1772-1827

## 2. Readership

The number of articles downloaded is a broad measure of a journal's visibility and attractiveness for an academic readership. As highlighted in the Statistical Report prepared by CUP, <u>usage statistics (i.e. articles downloaded from the CJO-Cambridge Journals Online platform) were much lower in 2013 (6,055) compared to 2012 (8,031) and 2011 (9,288), although projections based on the first 5 months of the year predict some recovery (+13%) in 2014.</u>

CUP suggests that the 2013 fall in downloads could be related to the <u>successful</u> migration of readers from printed Bibliographies (which used to account for a large share of downloads) to the separate cumulative Online Bibliography (for which usage statistics are not yet available). An additional factor highlighted by CUP is <u>libraries</u>' increasing practice of downloading digital journals files to hold them remotely, so that actual usage becomes impossible to be tracked.



A third factor could be related to a <u>subsiding "financial crisis" effect</u>. As mentioned in my 2012 report, the series of articles published in the "Past Mirror" section were very popular and attracted the interest of a huge audience. Indeed, three "Past Mirror" articles still appear at the top of the all-time rank of most downloaded papers (see Table below from CUP Statistical Report):

 $2^{nd}$  - C. Calomiris, *Banking crisis yesterday and today* (17.01) – 1,605 downloads  $5^{th}$  – M. Bordo, H. James, *The Great Depression analogy* (17.02) – 1,285 downloads  $8^{th}$  – R. Moessner, W.A. Allen, *Banking crises and the international monetary system in the Great Depression and now* (18.01) – 1,157 downloads.

Issue	Title	Author(s)	Abstract Views	Full-text Views
7.02	How it all began: the monetary and financial architecture of Europe during the first global capital markets, 1648-1815	Larry Neal	4,333	1,863
17.01	The Past Mirror: Notes, Surveys, Debates	Charles W. Calomiris	1,883	1,605
10.02	Publications on financial history	Serge Noiret	5,532	1,587
9.02	Publications on financial history 2000	Serge Noiret	5,328	1,418
17.02	The Great Depression analogy	Michael Bordo, Harold James	2,087	1,285
11.01	The evolution of financial institutions in the Ottoman Empire, 1600-1914	Sevket Pamuk	3,596	1,260
7.02	Financial innovation and the golden ages of international banking: 1890-1931 and 1958-81	Stefano Battilossi	4,560	1,178
18.01	Banking crises and the international monetary system in the Great Depression and now	Richhild Moessner, William A. Allen	1,476	1,157
14.01	The Maria Theresa dollar in the early twentieth- century Red Sea region: a complementary interface between multiple markets	Akinobu Kuroda	2,734	913
8.02	Publications on financial history 1999	Serge Noiret	3,109	903

Table 4: Most downloaded Financial History Review articles across all years.

However, downloads of "Past Mirror" articles subsided significantly in 2013, whereas recent research articles became prominent (See Table below from CUP Statistical Report).

Issue	Title	Author(s)	Abstract Views	Full-text Views
18.02	The changing role of central banks	C. A. E. Goodhart	1,060	251
7.02	How it all began: the monetary and financial architecture of Europe during the first global capital markets, 1648-1815	Larry Neal	518	243
20.01	Deriving the railway mania	Gareth Campbell	412	169
20.01	An experiment in banking the poor: the Irish Mont-de-Piété, c. 1830-1850	Eoin Mclaughlin	296	160
18.01	Amsterdam and London as financial centers in the eighteenth century	Ann M. Carlos, Larry Neal	388	126
17.02	The Great Depression analogy	Michael Bordo, Harold James	460	125
20.01	Competition among the exchanges before the SEC: was the NYSE a natural hegemon?	Eugene N. White	206	120
19.02	The international propagation of the financial crisis of 2008 and a comparison with 1931	William A. Allen, Richhild Moessner	343	96
19.02	The folly of particulars': the political economy of the South Sea Bubble	Richard Kleer	305	94
18.01	Banking crises and the international monetary system in the Great Depression and now	Richhild Moessner, William A. Allen	339	93
19.01	The vanishing banker	Marc Flandreau	248	90
17.01	Banking crises yesterday and today	Charles W. Calomiris	317	88

Table 3: Most downloaded Financial History Review articles during 2013

Therefore, the observed fall in the absolute number of downloads might also reflect a shift towards more strictly academic readership. We take this as evidence that our academic audience assessed very positively the quality and relevance of the papers published in the last volumes.

### 3. Citation metrics and appeal to Thomson Reuters.

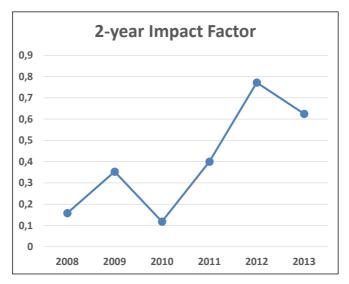
As detailed in my previous reports, in March 2011 CUP applied to Thomson-Reuters for inclusion of FHR in the JCR. The application was supported by a dossier of endorsements by renowned independent scholars – i.e. neither connected to the EABH nor members of the FHR editorial board. The <u>application was rejected in April 2013</u> on the basis a low citations and low ranking in the appropriate subject category.

This decision is clearly inconsistent with the good performance of the Review in terms of citation metrics calculated on the base of the Scopus data. For this reason, <u>CUP</u> decided to appeal the decision on the base of the following evidence:

-the overall <u>number of citations</u> shows a steadily rising trend: 19 in 2009, 26 in 2010, 37 in 2011, 58 in 2012, 63 in 2013.

-articles published in FHR were cited in all leading economic history journals since 2010 (Economic History Review, Journal of Economic History, European Review of Economic History, Cliometrica, Business History)

-increased citations led to a steady rise of the Review's <u>two-year impact factor</u>, <u>estimated on the base of the Scopus index</u>, from its lowest level in 2010 (0.118) to 0.400 in 2011, 0.772 in 2012 and 0.625 in 2013 (see graph below).



Note. The IF is estimated on the base of citations received in each year by articles published in the previous two years.

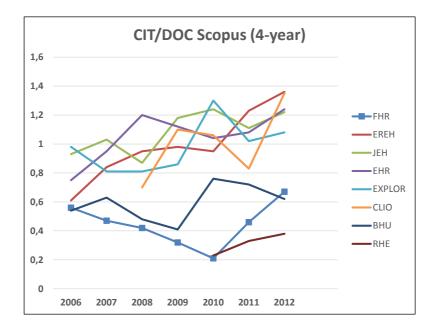
The impact factors estimated by CUP for FHR cannot be compared directly to JCR impact factors, since Scopus uses a more comprehensive index than Thomson Reuters. The data reported below are therefore only an imperfect indicator of FHR's relative performance compared to other journals in our discipline, for the period 2009-12.

FHR vs two-vear JCR Im	pact Factor of main economic and	business history journals
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	-	2009	2010	2011	2012	2013*
<b>Financial History Review</b>		0.353	0.118	0.400	0.772	0.625
Economic History Review		0.885	0.843	0.781	1.045	n.a.
European Review of Econo	mic History	0.828	0.594	0.774	1.206	n.a
The Journal of Economic H	istory	0.691	1.042	1.015	0.766	n.a.
Explorations in Economic H	History	0.576	1.222	0.935	0.686	n.a.
Business History		0.500	0.427	0.557	0.474	n.a
Cliometrica			0.957	0.487	1.615	n.a.
Revista de Historia Económ	nica		0.172	0.250	0.545	n.a.

\*Official impact factors of JCR journals for 2013 are expected to be published by Thomson Reuters during 2014 summer.

Nevertheless, they clearly suggest that articles published in the FHR have improved consistently their visibility and succeeded in attracting citations at a higher speed. This is confirmed by a comparison of 4-year impact factors (Cit/Doc-Citations per document; source: SCImago Journal Rank: <u>www.scimagojr.com</u>) consistently based for all journals on Scopus data (Graph below). These numbers clearly show a steep rise of FHR' citation metrics, comparable to that of the two most dynamic journals in the field (the *European Review of Economic History* and *Cliometrica*) in recent years.



-in June 2013 <u>HNN (History News Network) ranked FHR 11<sup>th</sup> among the most</u> influential history journals on the base of the h-index calculated by Google Scholar. See: <u>http://hnn.us/article/153862</u>

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Publication	h5-index	h5-median
1. The Journal of Economic History	23	33
2. The Economic History Review	18	24
3. Journal of Latin American Studies	15	24
4. The American Historical Review	14	23
5. Comparative Studies in Society and History	12	19
6. Journal of Historical Geography	11	15
7. History and Theory	11	14
8. Law and History Review	10	12
9. Radical History Review	9	15
10. Journal of Global History	9	14
11. Financial History Review	9	13
12. The History of the Family	9	13
13. Business History Review	9	12
14. Continuity and Change	9	12
15. The Journal of American History	8	14

Citation metrics are, in many respects, a flawed measure of the impact of a social science journal. Achieving genuine academic quality should be the priority when forming an editorial strategy, not the pursuit of higher metrics per se. Nevertheless, a journal's ranking based on an official impact factor is a critical element taken into account by authors when deciding where to submit their research, and by evaluators when assessing the research performance of individuals as well as departments or academic institutions. Since these are the rules of the game in our discipline, the potential negative impact of FHR's rejection by Thomson-Reuters should not be underestimated, as it will certainly affect adversely the pool of submissions.

For this reason, both the Editorial Team and CUP strongly recommend that the AC of eabh should actively lobby Thomson-Reuters in favour of the inclusion of FHR into JCR.

### 4. Submissions

In the last 12 months (June 2013-May 2014), FHR received 35 new submissions, which represent <u>a 30% fall compared to equivalent periods of past years</u> (50 new submissions both in 2012-13 and 2011-12), although broadly in line with numbers for 2010-11 (40 submissions). The average quality of submitted manuscripts has been generally acceptable and excellent in many cases. However we keep receiving a large number of submissions whose topics do not belong to financial history, especially from African and Asian countries.

The cumulative rejection rate remained stable around 60% (as in 2012 and 2011, compared to 50% in 2010 and 45% in 2009). Including all submissions received since September 2009, the <u>average turn-around from submission to the first two referee</u> reports is 78 days (it was 88 in 2012 and 90 days in 2011). If we consider only manuscripts submitted in the last 12 months, we have an average turn-around of 70 days, which is slightly longer than in 2012-13 (60 days) but still in line with the best practice implemented by leading journals in our discipline.

Submissions are to some extent cyclical, as they are partly driven by periodical research assessment exercises (e.g. in the UK). However, the recent decline, beyond cyclical fluctuations, certainly reflects also <u>a crowding-out effect driven by the comparative attractiveness of other journals with an official impact factor</u>. For the time being, the Review will be able to compete with other journals in attracting good papers only on the base of its scholarly reputation, the quality of papers published, the fairness and comprehensiveness of its referee reports, and the quickness of its reviewing process.

Under the present circumstances, <u>pro-activism in hunting and catching papers of good</u> <u>quality and high citation potential</u> will remain a critical aspect of the Review's editorial strategy in the future. For this purpose, a pro-active role of the Editorial Team and the Editorial Advisory Board in mobilizing and channeling high quality papers towards the Review is as critical as ever. These considerations have motivated the proposal to <u>strengthen the Editorial Team</u> and <u>partially renovate and expand the Editorial Board</u> in the course of 2014.

### 5. Partial renovation of Editorial Team and Editorial Advisory Board in 2014

Editorial Team. After serving for almost ten years as an Associate Editor for North America, and giving in to the burden of his new responsibilities as Dean for Faculty Diversity and Development at Barnard College NY and a member of the Board of the Business History Conference, David Weiman decided to step down at the end of 2013. David greatly contributed to promote FHR among US-based scholars, a task for which he deserves most sincere gratitutude. our In order to keep managing the Review effectively, I've proposed that a full co-editor should be nominated in order to strengthen the Editorial Team. I'm pleased to inform you that Rui Esteves (Oxford) expressed his availability to share with me the responsibility of running FHR for the next four years. Rui was already serving as a member of the Editorial Board since 2010 and proved to be a terrific support for the editors as a dependable and thoughtful referee of many manuscripts. He would now continue to promote the Review from his new position.

<u>Editorial Board</u>. One position was left vacant by the premature death of Phil Cottrell. Other long-serving members, elected in the early 2000s, kindly accepted to step down in order to allow a partial rotation of Board positions. I'd like to thank Howard Bodenhorn (Clemson University), Peter Hertner (Universität Halle-Wittenberg), John James (University of Virginia), Michel Lescure (Université Paris X), and Ranald Michie (Durham University) for their support and active cooperation with the Review over a decade as Board members and reviewers. We owe them a huge debt of gratitude for their generous contribution.

The following scholars have expressed their availability to join the Editorial Board: Olivier Accominotti (LSE); Carsten Burhop (University of Vienna); Akinobu Kuroda (University of Tokyo); Chris Meissner (University of California Davis); Kris Mitchener (University of Warwick); Anne Murphy (University of Hertfordshire); Aldo Musacchio (Harvard Business School); Tetsuji Okazaki (University of Tokyo); John Turner (Queen's University Belfast).

I'm enclosing below a full list of members of the Editorial Team and the Editorial Advisory Board who will support the Review in the next four years.

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	2014-17		
- 11			
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Stefano Battilossi	Universidad Carlos III Madrid, Spain	stefano.battilossi uc3m.es	confirmed
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I submitted these proposals to the EABH Board of Management and would be delighted if the AAC could agree to endorse and ratify them.

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## CAMBRIDGE JOURNALS

FINANCIAL HISTORY REVIEW, VOLUME 20 - ISSUE 01

Deriving the railway mania Gareth Campbell Financial History Review, Volume 20, Issue 01, April 2013, pp 1-27 doi: 10.1017/S0968565012000285, Published online by Cambridge University Press 30 Jan 2013 Competition among the exchanges before the SEC: was the NYSE a natural hegemon? Eugene N. White Financial History Review, Volume 20, Issue 01, April 2013, pp 29-48 doi: 10.1017/S0968565013000024, Published online by Cambridge University Press 06 Mar 2013 An experiment in banking the poor: the Irish Mont-de-Piété, c. 1830-1850 Eoin McLaughlin Financial History Review, Volume 20, Issue 01, April 2013, pp 49-72 doi: 10.1017/S0968565012000194, Published online by Cambridge University Press 27 Nov 2012 The determinants of investment returns in the fire insurance industry: the case of Sweden, 1903–1939 Lars Fredrik Andersson and Magnus Lindmark and Mike Adams and Vineet Upreti Financial History Review, Volume 20, Issue 01, April 2013, pp 73-89 doi: 10.1017/S0968565012000273, Published online by Cambridge University Press 15 Jan 2013 Liquidity preference and interest-bearing money: the Ottoman Empire, 1840-1851 Richard C. K. Burdekin and Meric Keskinel Financial History Review, Volume 20, Issue 01, April 2013, pp 91-102 doi: 10.1017/S0968565013000012, Published online by Cambridge University Press 27 Feb 2013 Daniel Carey and Christopher J. Finlay (eds.), The Empire of Credit: The Financial Revolution in Britain, Ireland and America, 1688–1815 (Dublin: Irish Academic Press, 2011, 272 pp., £45.00) Financial History Review, Volume 20, Issue 01, April 2013, pp 103-106 doi: 10.1017/S0968565013000036, Published online by Cambridge University Press 27 Feb 2013 Martin Allen, Mints and Money in Medieval England (Cambridge: Cambridge University Press 2012, 576 pp., £120, ISBN 9781107014947) David Bholat Financial History Review, Volume 20, Issue 01, April 2013, pp 106-109 doi: 10.1017/S0968565013000048, Published online by Cambridge University Press 27 Feb 2013 FHR volume 20 issue 1 Cover and Front matter Financial History Review, Volume 20, Issue 01, April 2013, pp f1-f3 doi: 10.1017/S096856501300005X, Published online by Cambridge University Press 11 Mar 2013 FHR volume 20 issue 1 Cover and Back matter Financial History Review, Volume 20, Issue 01, April 2013, pp b1-b2 doi: 10.1017/S0968565013000061, Published online by Cambridge University Press 11 Mar 2013



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#### FINANCIAL HISTORY REVIEW, VOLUME 20 - ISSUE 02

Financial intermediation and late development in Meiji Japan, 1868 to 1912 John Tang

*Financial History Review, Volume 20, Issue 02, August 2013, pp 111-135* doi: 10.1017/S0968565013000085, Published online by Cambridge University Press 15 May 2013

Registration and credit in seventeenth-century England Seiichiro Ito

*Financial History Review, Volume 20, Issue 02, August 2013, pp 137-162* doi: 10.1017/S0968565013000097, Published online by Cambridge University Press 14 May 2013

Malmö diskont 1817: an institutional analysis of a banking crisis Tom Kärrlander

*Financial History Review, Volume 20, Issue 02, August 2013, pp 163-182* doi: 10.1017/S0968565013000115, Published online by Cambridge University Press 24 Jun 2013

Savings bank depositors in a crisis: Glasgow 1847 and 1857 Duncan Ross

*Financial History Review, Volume 20, Issue 02, August 2013, pp 183-208* doi: 10.1017/S0968565013000103, Published online by Cambridge University Press 24 Jun 2013

'Unwept, unhonoured and unsung': Britain's import surcharge, 1964–1966, and currency crisis management Richard Roberts

*Financial History Review, Volume 20, Issue 02, August 2013, pp 209-229* doi: 10.1017/S0968565013000073, Published online by Cambridge University Press 28 May 2013

Kenneth D. Garbade, Birth of a Market: The US Treasury Securities Market from the Great War to the Great Depression (Cambridge, MA: MIT Press, 2012, xii + 393 pp., \\$50, ISBN 978-0-262-01637-7)

*Financial History Review, Volume 20, Issue 02, August 2013, pp 231-233* doi: 10.1017/S0968565013000152, Published online by Cambridge University Press 24 Jun 2013

Harold James, Making the European Monetary Union: The Role of the Committee of Central Bank Governors and the Origins of the European Central Bank (Cambridge, MA, and London: The Belknap Press of Harvard University Press, 2012, 567 pp., ISBN 978-0-674-06683-0) Ivo Maes

*Financial History Review, Volume 20, Issue 02, August 2013, pp 233-236* doi: 10.1017/S0968565013000164, Published online by Cambridge University Press 24 Jun 2013

FHR volume 20 issue 2 Cover and Front matter

*Financial History Review, Volume 20, Issue 02, August 2013, pp f1-f3* doi: 10.1017/S0968565013000188, Published online by Cambridge University Press 05 Jul 2013

FHR volume 20 issue 2 Cover and Back matter

*Financial History Review, Volume 20, Issue 02, August 2013, pp b1-b2* doi: 10.1017/S096856501300019X, Published online by Cambridge University Press 05 Jul 2013



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#### FINANCIAL HISTORY REVIEW, VOLUME 20 - ISSUE 03

Understanding rating addiction: US courts and the origins of rating agencies' regulatory license (1900–1940) Marc Flandreau and Joanna Kinga Sławatyniec

Financial History Review, Volume 20, Issue 03, December 2013, pp 237-257 doi: 10.1017/S096856501300022X, Published online by Cambridge University Press 26 Nov 2013

Learning by doing: the failure of the 1697 Malt Lottery Loan Georges Gallais-Hamonno and Christian Rietsch

Financial History Review, Volume 20, Issue 03, December 2013, pp 259-277 doi: 10.1017/S0968565013000127, Published online by Cambridge University Press 08 Aug 2013

Price manipulation at the NYSE and the 1899 battle for Brooklyn Rapid Transit shares Timothy A. Kruse and Steven K. Todd

Financial History Review, Volume 20, Issue 03, December 2013, pp 279-303 doi: 10.1017/S0968565013000218, Published online by Cambridge University Press 05 Nov 2013

Imperfect but true competition: innovation and profitability in Portuguese banking during the golden age (1950–1973) Luciano Amaral

Financial History Review, Volume 20, Issue 03, December 2013, pp 305-333 doi: 10.1017/S0968565013000206, Published online by Cambridge University Press 01 Nov 2013

The seasonal demand for multiple monies in Manchuria: re-examining Zhang Zuolin's government's economic policy during the 1920s Miriam Kaminishi

Financial History Review, Volume 20, Issue 03, December 2013, pp 335-359 doi: 10.1017/S0968565013000140, Published online by Cambridge University Press 09 Aug 2013

Alessandro Stanziani, Rules of Exchange: French Capitalism in Comparative Perspective, Eighteenth to Early Twentieth Centuries (Cambridge: Cambridge University Press, 2012, ix + 313 pp., £52.25, ISBN 978-1-107-00386-6) Patrice Baubeau

Financial History Review, Volume 20, Issue 03, December 2013, pp 360-362 doi: 10.1017/S0968565013000176, Published online by Cambridge University Press 24 Jun 2013

FHR volume 20 issue 3 Cover and Front matter

Financial History Review, Volume 20, Issue 03, December 2013, pp f1-f3 doi: 10.1017/S0968565013000243, Published online by Cambridge University Press 26 Nov 2013

FHR volume 20 issue 3 Cover and Back matter

Financial History Review, Volume 20, Issue 03, December 2013, pp b1-b2 doi: 10.1017/S0968565013000255, Published online by Cambridge University Press 26 Nov 2013

